

TITLE 660. DEPARTMENT OF SECURITIES
CHAPTER 11. OKLAHOMA UNIFORM SECURITIES ACT OF 2004

SUBCHAPTER 5. BROKER-DEALERS AND AGENTS
PART 3. LICENSING PROCEDURES

660:11-5-21. Supplemental disclosures [NEW]

Every broker-dealer and agent registered under the Securities Act must keep their application current at all times by promptly filing amendments supplementing their application as required by Section 1-406.B of the Securities Act and the instructions to the Form U-5 and Form U-4. "Promptly" shall mean not later than 30 (thirty) days after learning of the facts or circumstances giving rise to the amendment.

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