



STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES

**REGULARLY SCHEDULED MEETING AGENDA**  
**Oklahoma Securities Commission**  
**204 North Robinson, Suite 400 Conference Room**  
**Oklahoma City, Oklahoma**

**March 16, 2017**

10:00 a.m.

- I. Call to Order
- II. Acceptance of Minutes for the January 26, 2017 regularly scheduled meeting
- III. Old Business
- IV. Presentation, discussion and vote to accept Fiscal Year 2016 Financial Statements and Independent Auditor's Report
- V. Administrator's Report
  - A. Presentation and discussion of the financial report through February 28, 2017
  - B. Presentation and discussion of the reports on the enforcement activities of the Department during the months of January and February 2017
  - C. Presentation and discussion of the reports on the securities registration and exemption activities of the Department as of February 28, 2017
  - D. Presentation and discussion of the reports on the firms and professionals registration activities of the Department during the months of January and February 2017
  - E. Presentation and discussion of the reports on the on-site examinations for the months of January and February 2017
  - F. Presentation and discussion of investor education activities
  - G. Presentation and discussion of state and/or federal legislation or policies affecting operations of the Department of Securities
- VI. New Business
- VII. Adjournment